



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,488	10/16/2001		12289

Dorsey & Whitney LLP  
Suite 300 South  
1001 Pennsylvania Avenue  
Washington, DC 20004



CONFIRMATION NO. 3105

## FORMALITIES LETTER



\*OC000000006969937\*

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$198.  
    ■ \$198 for 11 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1068.**

*A copy of this notice **MUST** be returned with the reply.*

12/31/2001 NBERHE 00000059 041425 09977488

01 FC:101  
02 FC:105  
03 FC:103

740.00 CH  
130.00 CH  
198.00 CH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No.: 12289

Section 9B  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: William D. SWART, et al.

Examiner: n/a

Serial No.: 09/977,488

Art Unit: 2614

Filed: October 16, 2001

Title: VIDEO AND DIGITAL MULTIMEDIA AGGREGATOR CONTENT  
AVAILABILITY NOTIFICATION SYSTEM AND METHOD

**Box Missing Parts**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**OF NONPROVISIONAL APPLICATION UNDER 37 CFR 1.53(b)**

Sir:

In response to the Notice To File Missing Parts dated October 25, 2001, please find enclosed the following:

- Fully executed Declaration and Power of Attorney in compliance with 37 CFR 1.63
- Late filing fee surcharge of \$130.00 for late submittal of Declaration in compliance with 37 CFR 1.16(I)
- Statutory basic filing fee \$740.00
- Additional claim fees \$198.00
- Petition For One Month Extension of Time
- Copy of Notice To File Missing Parts Of Nonprovisional Application

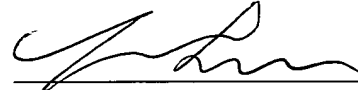
The Commissioner is hereby authorized to charge the fees needed in connection with filing, surcharge, and additional claim fees in the amount of **\$1,068.00** to Deposit Account No. **04-1425**. In addition, the Commissioner may charge any excess or insufficiency to the above deposit account number in connection with this communication. A duplicate copy of this letter is

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transmitted for that purpose.

Respectfully submitted,

Date: December 28, 2001



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Kelly T. Lee, Reg. No.: 47,743  
DORSEY & WHITNEY LLP  
1660 International Drive, Suite 400  
McLean, Virginia 22102  
(703) 288-5245  
(703) 288-5260 facsimile